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PATENT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Vali Tadayon, et al. : Paper No:
Serial No. 09/421,808 : Group Art Unit:
Filed: October 20, 1999 : Examiner:
For: METHOD AND APPARATUS FOR AN ACTIVE FILE SYSTEM

RECEIVED

JUN 28 2001

Technology Center 2100

PRELIMINARY AMENDMENT TRANSMITTAL

Box Amendment
The Assistant Commissioner For Patents
Washington, D.C. 20231

Dear Sir:

Transmitted herewith is an amendment for the above-captioned application.

Applicant is a

☒ Small Entity

☐ Large Entity

The proceedings herein are for a patent application and the provisions of 37 C.F.R.

§1.136 apply.

(complete (a) or (b), as applicable)

(a) ☐ Applicant petitions for an extension of time under 37 C.F.R. §1.136 (fees: 37

C.F.R. §1.17(a)(1)-(4)) for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	390.00	195.00
<input type="checkbox"/> three months	890.00	445.00
<input type="checkbox"/> four months	1,390.00	695.00

Fee: \$ _____

If an additional extension of time is required, please consider this a petition therefor.

- ☐ An extension for ____ months has already been secured. The fee paid therefor of \$_____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____

- (b) ☐ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for petition for extension of time.

FEE FOR CLAIMS

	Claims Remaining After Amendment		Highest Number Previously Paid For	Present Extra	Rate Small Entity		Rate Large Entity	Add'l Fee
Total *	65	Minus 21	35	= 29	X 9.00	\$261.00	X 18.00	\$
Indep. *	15	Minus 3	3	=12	X 40.00	\$480.00	X 80.00	\$
<input type="checkbox"/> First Presentation of Multiple Dependant Claim					X 135.00	\$	+270.00	\$
					Total Addt'l. Fee	\$741.00	Total Addt'l. Fee	\$

* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
If the "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of the prior amendment or the number of claims originally filed.

(complete (c) or (d) as required)

- (c) ☐ No additional fee for claims is required.
- (d) ☒ Total additional fee for claims required **\$741.00**

FEE PAYMENT

- ☒ Attached is a check in the sum of **\$741.00** covering the total additional fee for claims required.

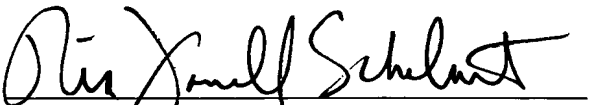
Serial No. 09/421,808

- ☐ Please charge Frost Brown Todd LLC Account No. 06-2226 for the total fee due.

The Assistant Commissioner for Patents is authorized to charge any deficiency or credit any overpayment of fees to Frost Brown Todd LLC Deposit Account No. 06-2226.

Respectfully submitted,

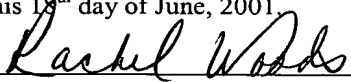
VALI TADAYON, ET AL.

By 

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CERTIFICATE OF MAILING

I hereby certify that a copy of this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to The Assistant Commissioner for Patents, Washington, D.C. 20231 this 18th day of June, 2001.


Rachel Woods

CINlibrary/1068390.1



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Wm...
6/29/01

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PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Please add Claims 36-65 as follows.

IN THE CLAIMS

Sub B5
2

A1

5

10

36. (NEW) A method for supporting a computerized file system comprising:
- preconfiguring at least one triggering event in a computer program in communication with said computerized file system;
 - preconfiguring at least one associated action in said computer program, wherein said triggering event and associated action are related to the operation of said computerized file system;
 - monitoring said computerized file system with said computer program for the occurrence of said at least one preconfigured triggering event; and

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01 FC:202
02 FC:203

480.00 OP
261.00 OP